



Serial No.: 10/020,045

PATENT  
PU010301

2611

#5

06-20-03  
S.I.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Herbert Stevens, et al.

Serial No.: 10/020,045

Filed: December 13, 2001

For: System and Method for Automatic Switching to Interactive Application  
During Television Program Breaks

Art Unit: 2611

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INFORMATION DISCLOSURE STATEMENT

- 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in  
compliance with 37 CFR 1.97 & 1.98:

- 5 A list of documents on form PTO-1449 together with copies of each  
identified document and a translation or a concise explanation of  
each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever  
is earlier]; and
- 8 The required certification made in item 11 below; or
- 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission  
of this Information Disclosure Statement is authorized in  
item 14 below.
- 10 37 CFR§ 1.97(d): [before issue fee payment]; and

(a) This is a petition for consideration of the subject Information  
Disclosure Statement. The petition fee (\$130.00) required by

37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch D67, Date of Allowance: 08/30/96"), and

(b) The required Certification is stated in item 11 below.

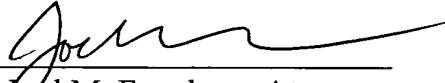
11 Certification

12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
John Herbert Stevens, et al.

BY:   
Joel M. Fogelson, Attorney  
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Thomson Licensing Inc.  
Patent Operations  
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June 6, 2003

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on the date indicated below.

Date: June 6, 2003 Signature Dorothy Kefrick  
Dorothy Kefrick



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. <b>PU010301</b>	SERIAL NO. <b>10/020,045</b>			
<b>INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97</b> (Use several sheets if necessary)				APPLICANTS <b>John Herbert Stevens, et al.</b>				
				FILING DATE <b>12/13/01</b>		GROUP 2611		
<b>U. S. PATENT DOCUMENTS</b>								
EXAMINEE INITIAL	DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE			CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
AA								
AB								
AC								
AD								
AE								
AF								
AG								
AH								
<b>FOREIGN PATENT DOCUMENTS</b>								
	DOCUMENT NUMBER	PUBL. DATE	COUNTRY			CLASS	SUB- CLASS	TRANSLATION Yes No
AI	WO00/40025	7/6/00	PCT			H04N	7/16	
AJ	WO99/11065	3/4/99	PCT			H04N	7/00	
AK								
AL								
AM								
AN								
<b>OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)</b>								
AO	International Search Report for International Application No. PCT/US02/39401, March 25, 2003							
AP								
AQ								
AR								
AS								
AT								
EXAMINER				DATE CONSIDERED				
SUBMITTED BY: <i>Joel M. Fogelson</i>				REG. NO.: 43,613		DATE: June 6, 2003		

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